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# INTRODUCTION BASICS & AXIOMS OF QM & APPLICATION TO TWO LEVEL SYSTEMS (qubits)

Formulated here is purely mathematical axioms.

(as first done probably by von Neumann historically).

As we go we will see physical examples of systems to apply them.

Maths needed for us: Lin alg, matrix theory, complex numbers. (In more advanced settings  $\rightarrow$  funct analysis).

## ① A few Math recaps & Dirac Notation (Prelim).

Complex Hilbert space  $\mathcal{H}$  = vector space on a complex field  $\mathbb{C}$ . Vectors denoted  $|\psi\rangle, |\chi\rangle, \dots$ , scalar  $\alpha, \beta, \dots \in \mathbb{C}$ .

Transpose vectors  $(|\psi\rangle)^{T*} = \langle\psi|, (|\chi\rangle)^{T*} = \langle\chi|$ .

Scalar product or Dirac bracket  $\langle\psi|\chi\rangle \in \mathbb{C}$ .

Self sym:  $\langle \psi | \chi \rangle = \overline{\langle \chi | \psi \rangle}$ . (2)

Linear:  $\langle \psi | (\alpha | \chi_1 \rangle + \beta | \chi_2 \rangle)$   
 $= \alpha \langle \psi | \chi_1 \rangle + \beta \langle \psi | \chi_2 \rangle$

$(\overline{\alpha} \langle \chi_1 | + \overline{\beta} \langle \chi_2 |) | \psi \rangle$   
 $= \overline{\alpha} \langle \chi_1 | \psi \rangle + \overline{\beta} \langle \chi_2 | \psi \rangle.$

positive:  $\langle \psi | \psi \rangle \geq 0$  and equality  $\Rightarrow | \psi \rangle = 0$ .

Proposition:  $\langle \psi | \psi \rangle = \| \psi \|^2$  defines a norm.

$\sqrt{\langle \psi | \psi \rangle} = \| \psi \|$

triangle -  $\| \psi + \chi \| \leq \| \psi \| + \| \chi \|$   
 Cauchy Schwarz  $|\langle \chi | \psi \rangle| \leq \| \chi \| \| \psi \|$ .

Example of Hilbert space:

$\mathbb{C}^2$   $\alpha |0\rangle + \beta |1\rangle = \begin{pmatrix} \alpha \\ \beta \end{pmatrix}$  Eucl.  $\overline{\alpha} \langle 0 | + \overline{\beta} \langle 1 | = \overline{(\alpha \beta)}$

$\mathbb{C}^d$   $\sum_{i=1}^d \alpha_i |i\rangle = \begin{pmatrix} \alpha_1 \\ \vdots \\ \alpha_d \end{pmatrix}$  Eucl.  $\overline{\alpha} \delta + \overline{\beta} \delta$ . Euclidean 1-norm

$L^2(\mathbb{R}^3) \ni \psi(x), x \in \mathbb{R}^3$   $\int |\psi(x)|^2$   
 $= \langle x | \psi \rangle$  inner product  $\int \overline{\varphi(x)} \chi(x) = \langle \varphi | \chi \rangle = \int dx \overline{\varphi(x)} \chi(x)$



(3)

Tensor product. For 2 Hilbert space  $\mathcal{H}_1, \mathcal{H}_2$

we can form a bigger one  $\mathcal{H}_1 \otimes \mathcal{H}_2 (= \mathcal{H}_{1 \cup 2})$

Concretely with basis  $|v_i\rangle, \dots |v_{d_1}\rangle$

$|w_1\rangle, \dots |w_{d_2}\rangle$   
 $= |v_i, w_j\rangle$

$\mathcal{H}_1 \otimes \mathcal{H}_2$  has basis made of all pairings  $|v_i\rangle \otimes |w_j\rangle$   $d_1 d_2$

Dimension is  $d_1 d_2$ . Elements  $|\psi\rangle = \sum_{ij} \alpha_{ij} |v_i w_j\rangle$

$$\langle \psi | = \sum \bar{\alpha}_{ij} \langle v_i w_j |$$

Inner product

$$\langle v_i w_j | v_\ell w_k \rangle$$

$$= \langle v_i | v_\ell \rangle \langle w_j | w_k \rangle = \delta_{i\ell} \delta_{jk}$$

↑  
orthogonal

general:  $(\langle \chi_1 | \otimes \langle \chi_2 |) (\psi_1 \otimes \psi_2)$

$$= \langle \chi_1 | \psi_1 \rangle \langle \chi_2 | \psi_2 \rangle.$$

Def: Product vector (state) if  $|\psi\rangle$  can be written as

$|\chi_1\rangle \otimes |\chi_2\rangle$ . Note  $\alpha_{ij} = \beta_i \gamma_j \Rightarrow |\psi\rangle$  is product vector.

Examples  $\mathbb{C}^2 \otimes \mathbb{C}^2, \dots \quad L^2(\mathbb{R}^3) \otimes \mathbb{C}^2.$  (4)

Matrices. let  $\mathcal{H}$  of dimension  $d$ .

square matrix  $A: \mathcal{H} \rightarrow \mathcal{H}$

$$|\psi\rangle \mapsto A|\psi\rangle.$$

is a linear map  $A(|\psi\rangle + |\chi\rangle) = A|\psi\rangle + A|\chi\rangle.$

Representation in a basis  $\{ |1\rangle, \dots, |d\rangle \} \quad |i\rangle.$

by Table. (orthonormal basis)

$$A_{ij} = \langle i | A | j \rangle \in \mathbb{C}$$

(for other basis)

Property:  $A = \sum_{i,j} A_{ij} |i\rangle \langle j|$

Proof:  $\sum_{i,j} \langle i | A | j \rangle |i\rangle \langle j| = \sum_{i,j} \underbrace{|i\rangle \langle i|}_{\text{proj on } \text{vec}(|i\rangle)} \underbrace{A | j \rangle}_{\text{proj on } \text{vec}(|j\rangle)} \langle j|$

$$\sum_i |i\rangle \langle i| = \mathbb{1} \quad \& \quad \sum_j |j\rangle \langle j| = \mathbb{1} \quad \rightarrow \quad = A$$

(called the closure relation)

(5)

Tensor product of Operators.

$$A: \mathcal{H}_1 \rightarrow \mathcal{H}_1, \quad d_1 \times d_1$$

$$B: \mathcal{H}_2 \rightarrow \mathcal{H}_2, \quad d_2 \times d_2.$$

$$(A \otimes B): \mathcal{H}_1 \otimes \mathcal{H}_2 \rightarrow \mathcal{H}_1 \otimes \mathcal{H}_2.$$

$$|\psi_1\rangle \otimes |\psi_2\rangle \rightarrow (A \otimes B)|\psi_1\rangle \otimes |\psi_2\rangle$$

$$= A|\psi_1\rangle \otimes B|\psi_2\rangle.$$

(+ linearity to complete definition).

Tableau repr.

$$\langle i, j | A \otimes B | k, l \rangle = \langle i, j | (A|k\rangle \otimes B|l\rangle)$$

$$= \langle i | A | k \rangle \langle j | B | l \rangle$$

$$= A_{ik} B_{jl}$$

$$= (A \otimes B)_{ij; kl}, \quad d_1 d_2 \times d_1 d_2.$$

Example:

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \otimes \begin{pmatrix} e & f \\ g & h \end{pmatrix} = \begin{pmatrix} ae & af & be & bf \\ ag & ah & cg & ch \\ ce & cf & de & df \\ ge & gf & he & hf \end{pmatrix} \quad 4 \times 4$$

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Property:

$$A \otimes B = \sum_{i,j} A_{ij} |i\rangle \langle j| \otimes \sum_{k,l} B_{kl} |k\rangle \langle l|$$

$$= \sum_{i,j,k,l} A_{ij} B_{kl} |i\rangle \langle k| \otimes |j\rangle \langle l|$$

Hermitian matrices:  $A = A^T,^* = A^\dagger$ 

$$A_{ij} = A_{ji}^*$$

$$\langle i|A|j\rangle = \overline{\langle j|A|i\rangle}$$

Unitary matrices:  $U^\dagger U = U U^\dagger = \mathbb{I}$ 

prop: • Linear are orthonormal basis

• col are orthonormal basis.

$$U^{-1} = U^\dagger$$

(Exercise.)

• preserve scalar prod & norm  $\Leftrightarrow$  unitarity.

$$(U|\varphi\rangle)^\dagger (U|\chi\rangle) = \langle \varphi|U^\dagger U|\chi\rangle$$

$$= \langle \varphi|U^{-1}U|\chi\rangle$$

$$= \langle \varphi|\chi\rangle$$

$$\|U\varphi\| = \|\varphi\|$$

## ② Axioms of QM.

I. An isolated syst is described by a state vector element of a Hilbert space  $\mathcal{H}$ ,  $|\psi\rangle$ . We must have  $\|\psi\| = 1$ .

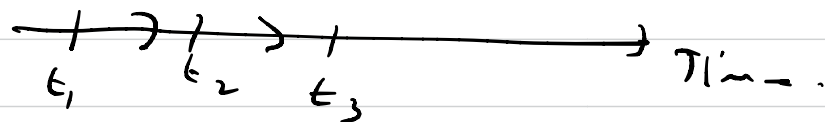
II. The time evolution of the state vector is given by a unitary matrix:

$$|\psi(t)\rangle = U_t |\psi(0)\rangle.$$

where  $U_t^\dagger U_t = U_t U_t^\dagger = \mathbb{I}$ .

In particular  $\|\psi(t)\| = \|\psi(0)\| = 1$ . The matrix satisfies composition property:

$$U(t_3, t_2) U(t_2, t_1) = U(t_3, t_1).$$



III. Observable quantities are represented by hermitian matrices  $A$  (i.e. s.t.  $A = A^\dagger$ ).

IV. Measurement. Q) If a system is in state  $|\psi\rangle$  and an observable  $A$  is measured then the result of the meas is <sup>random</sup> an eigenvalue  $\lambda_i$  of  $A$   $\{i=1, \dots, d\}$  with probability  $|\langle i | \psi \rangle|^2$  where  $|i\rangle$  is eigenvector and state after meas is  $|i\rangle$ .

#### von Neumann Projection Measurement

b) The measurement apparatus for us is equivalent to a choice of basis  $\{|1\rangle, \dots, |d\rangle\}$ . With this Meas App we can measure any obs that has these eigenvectors. If state is  $|\psi\rangle$  the state after meas is  $|i\rangle$  with prob  $|\langle i | \psi \rangle|^2 = \text{prob}(i)$ .

Remark:  $\{|i\rangle\langle i| = \Pi_i \text{ are proj}\}$  apparatus.  $\sum_i \Pi_i = \mathbb{I}$ .  
 $\text{prob}(i) = \langle \psi | \Pi_i | \psi \rangle = |\langle i | \psi \rangle|^2$ .  
 state after Meas  $\Pi_i |\psi\rangle / \sqrt{\langle \psi | \Pi_i | \psi \rangle}$ .

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Remark • 
$$\sum_{i=1}^d \text{prob}(i) = \sum_{i=1}^d |\langle i | \psi \rangle|^2$$

$$= \sum_{i=1}^d \langle \psi | i \rangle \langle i | \psi \rangle = \langle \psi | \psi \rangle$$

$$= 1.$$

- If  $|\psi(0)\rangle = |\psi\rangle$  and we let syst evolve for a time  $t$  the measure  $|\psi(t)\rangle$  with apparatus  $\{|1\rangle \dots |d\rangle\}$  the syst after Meas is  $|i\rangle$  with prob

$$|\langle i | U_t | \psi \rangle|^2 = \langle \psi | U_t^\dagger \Pi_i U_t | \psi \rangle$$

such bracket. Often called a "transition probability"

#### V. Composite of systems.

Syst A described by  $\mathcal{H}_A$ , Syst B desc by  $\mathcal{H}_B$ .

Syst  $A \cup B$  described by  $\mathcal{H}_A \otimes \mathcal{H}_B$ .

State  $|\psi\rangle \in \mathcal{H}_A \otimes \mathcal{H}_B$ .

Def Product & E-angled state.

- $|\psi\rangle$  is a product state if  $\exists |\chi_A\rangle \in \mathcal{H}_A$  and  $|\chi_B\rangle \in \mathcal{H}_B$  s.t.  $|\psi\rangle = |\chi_A\rangle \otimes |\chi_B\rangle$ .
- $|\psi\rangle$  is an entangled state if it is not product.

Property If syst is in state  $|\psi\rangle$  and  $A$

is measured the statistics of this measurement are:

$$\text{exp value of } A = \sum_i \alpha_i |\langle i|\psi\rangle|^2 = \langle \psi|A|\psi\rangle.$$

$$\begin{aligned} \text{var of } A &= \sum_i \alpha_i^2 |\langle i|\psi\rangle|^2 - \langle \psi|A|\psi\rangle^2 \\ &= \langle \psi|A^2|\psi\rangle - \langle \psi|A|\psi\rangle^2. \end{aligned}$$

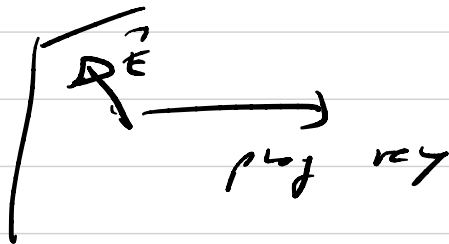
(Exercise) -



### ③ Qubits & ensembles of qubits.

Many physical systems are constructed by assemblies of discrete 2-dim systems whose individual Hilbert space is  $\mathcal{H} = \mathbb{C}^2$ . For  $N$  such 2-dim systs  $\mathcal{H}_{\text{total}} = \underbrace{\mathbb{C}^2 \otimes \mathbb{C}^2 \otimes \dots \otimes \mathbb{C}^2}_{N \text{ times.}}$

Example: 1) pol state of a photon is a vector analogous to  $\vec{E}$  field of an e.m wave



in plane  $\perp$  to wave vector: two dim basis

But this has complex components

$|V\rangle, |H\rangle$  basis  
 $|R\rangle, |L\rangle$  du basis.

$$| \text{pol state} \rangle = \alpha |V\rangle + \beta |H\rangle = \gamma |R\rangle + \delta |L\rangle$$

2) Spin-1/2 : Magn moment of particles  
such as electron, proton, some nuclei, atoms  
etc... which take only two possible values  
when measured. Have on this next time.

$\{ |\uparrow\rangle, |\downarrow\rangle \}$  ;  $\{ |\rightarrow\rangle, |\leftarrow\rangle \}$  ; ...

3) Two level sys

Atoms, Ions — First excited } can be  
— Ground state } isolated from  
rest of spectrum -

- All these constitute natural "qubits".

qubits or two level sys can also be engineered

example superconducting circuits

- qubit turns out to be the elementary natural  
unit of quantum information. Much as classical bit  
is info unit of classical information.

•  $\mathbb{C}^2 = \{ \alpha |0\rangle + \beta |1\rangle = \begin{pmatrix} \alpha \\ \beta \end{pmatrix}, \quad \alpha, \beta \in \mathbb{C} \}.$

$|0\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad |1\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$  is an orth basis  
computational basis or Z-basis

$$\frac{|0\rangle + |1\rangle}{\sqrt{2}} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix} \quad \frac{|0\rangle - |1\rangle}{\sqrt{2}} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}$$

is another basis Hadamard basis or X-basis

Other important basis Y-basis!

$$\frac{|0\rangle + i|1\rangle}{\sqrt{2}} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ i \end{pmatrix} \quad \frac{|0\rangle - i|1\rangle}{\sqrt{2}} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -i \end{pmatrix}$$

exercise: check orthonormality of Y-basis!

• Multiple qubits:  $\mathcal{H} = \mathbb{C}^2 \otimes \mathbb{C}^2 \otimes \dots \otimes \mathbb{C}^2$

Comp

basis  $|b_1\rangle \otimes |b_2\rangle \otimes \dots \otimes |b_n\rangle \quad b_i = 0, 1$

$|\psi\rangle = \sum c_{b_1 \dots b_n} |b_1 b_2 \dots b_n\rangle \quad \underline{\underline{2^n \text{ dimensional!}}}$

(14)

→ Note  $2^N$  classical bit strings can be encoded in  $N$  qubits! But all a continuous set of complex args.

→ However if we apply the Heisenberg principle we see that a Meas (say in comp basis) allows to extract only one string

$$\begin{aligned} \text{prob}(b_1 \dots b_N) &= |\langle b_1 \dots b_N | \psi \rangle|^2 \\ &= |C_{b_1 \dots b_N}|^2. \end{aligned}$$

→ So one should not think that  $|\psi\rangle \in \mathbb{C}^{2^N}$  contains an infinite amount of information. How to quantify this is given by von-Neumann entropy (see later on).

(4) Bloch sphere: geometric illustration of qubits as  $\mathbb{C}^2$ .

A qubit state  $\alpha|0\rangle + \beta|1\rangle$  has

$$\alpha, \beta \in \mathbb{C} \quad \text{with} \quad |\alpha|^2 + |\beta|^2 = \alpha\bar{\alpha} + \beta\bar{\beta} = 1$$

There are thus  $4 - 1 = 3$  real parameters left over. In fact  $i - \mathbb{Q} \mathbb{R}$  states

$$\alpha|0\rangle + \beta|1\rangle, \quad e^{i\lambda}(\alpha|0\rangle + \beta|1\rangle)$$

are equivalent because in any proj meas

the global phase  $\lambda$  is not observable.

$$\begin{aligned} \text{Indeed } \text{prob}(V) &= |\langle V | (e^{i\lambda} \alpha|0\rangle + \beta|1\rangle)|^2 \\ &= \underbrace{|e^{i\lambda}|^2}_1 |\langle V | \alpha|0\rangle + \beta|1\rangle|^2 \end{aligned}$$

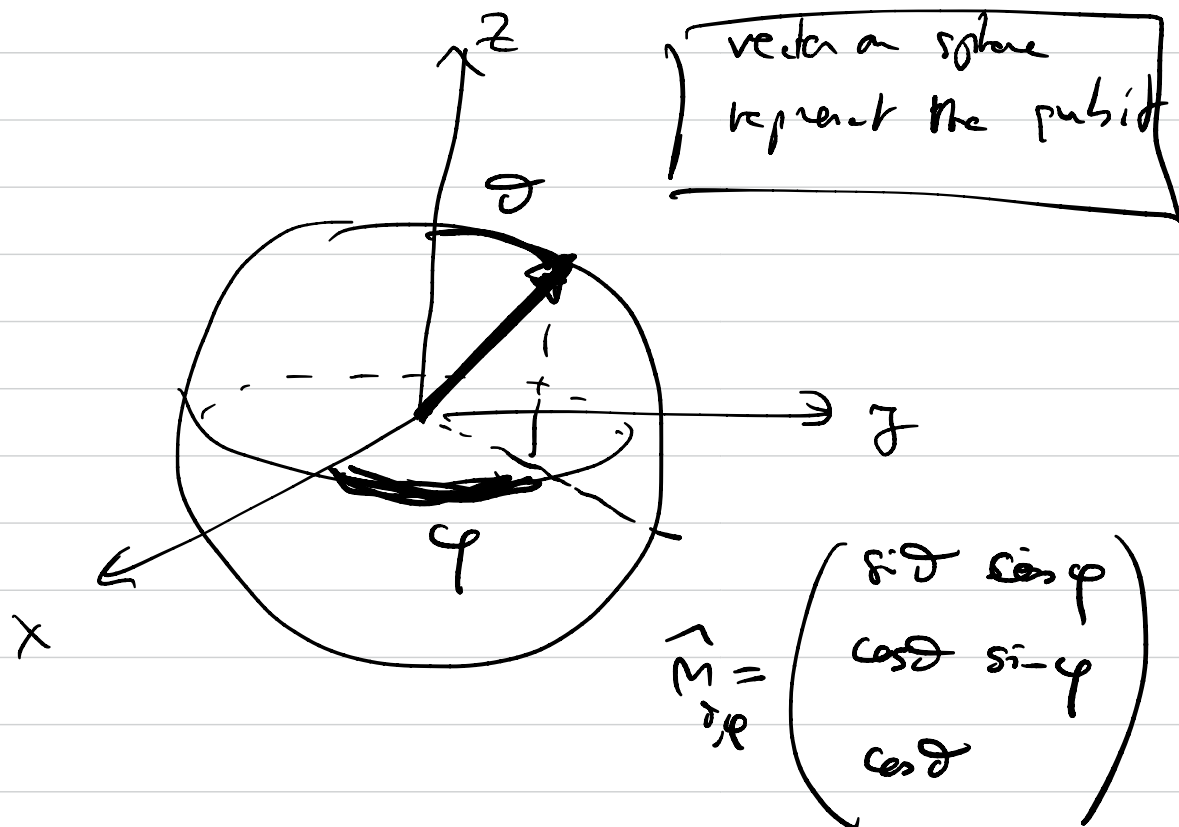
Thus we can choose  $\lambda$  so that  $e^{i\lambda}\alpha$  is real.

As  $\alpha$  is real we need only 2 real params

Standard parametrization is as follows:

$$\alpha = \cos \frac{\theta}{2} \quad \beta = e^{i\varphi} \sin \frac{\theta}{2}$$

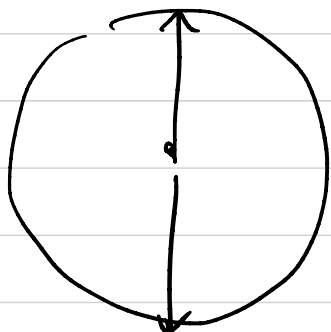
$$\begin{cases} |\alpha|^2 + |\beta|^2 = \cos^2 \frac{\theta}{2} + |e^{i\varphi}|^2 \sin^2 \frac{\theta}{2} = 1 \\ \alpha \in \mathbb{R} \end{cases}$$



Special case:

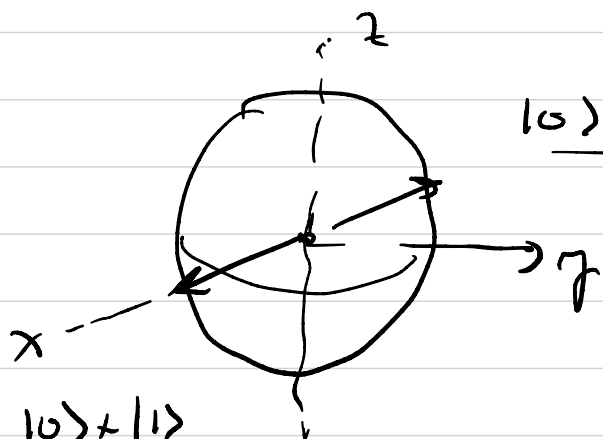
$$|0\rangle = |\uparrow\rangle$$

$$\theta = 0, \varphi \text{ any } \text{any} \cdot \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$$



$$|1\rangle = |\downarrow\rangle$$

$$\theta = \pi, \varphi \text{ any } \text{any} \cdot \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

z-basis  $\{ |0\rangle, |1\rangle \}$ 

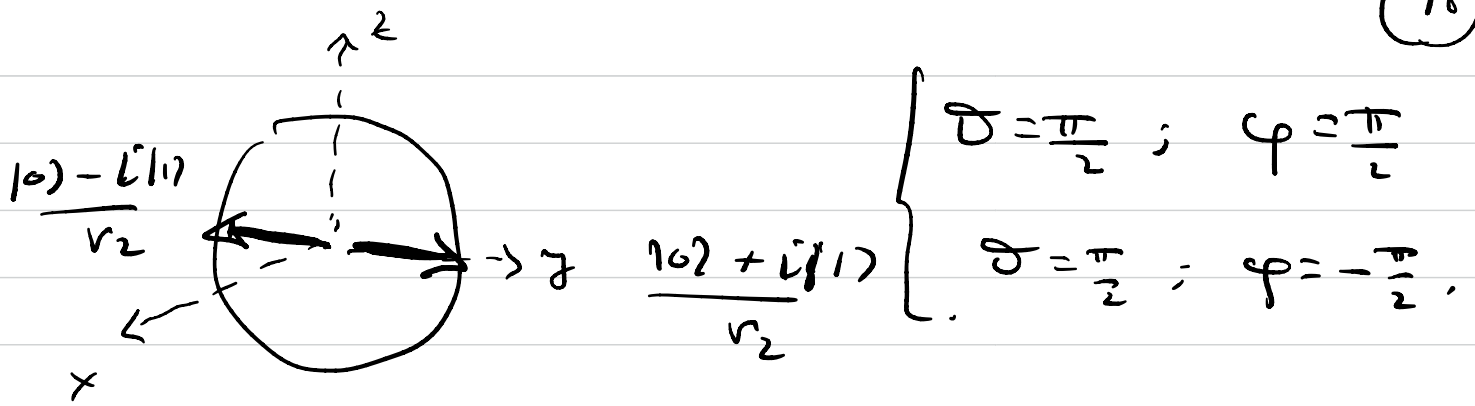
$$\frac{|0\rangle + |1\rangle}{\sqrt{2}}$$

$$\theta = \frac{\pi}{2}, \varphi = \pi; \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \\ 0 \end{pmatrix}$$

$$\frac{|0\rangle + |1\rangle}{\sqrt{2}}$$

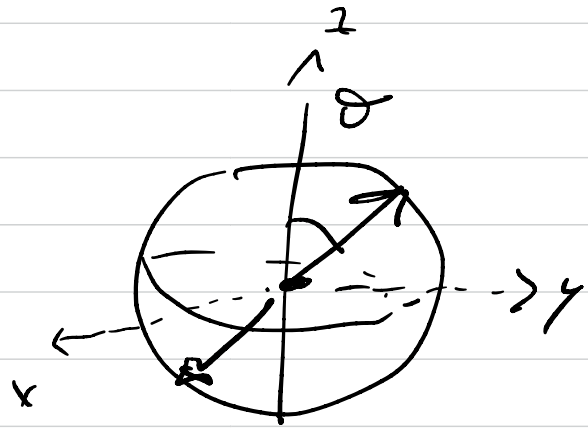
$$\theta = \frac{\pi}{2}, \varphi = 0; \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \\ 0 \end{pmatrix}$$

x-basis  $\left\{ \frac{|0\rangle + |1\rangle}{\sqrt{2}} ; \frac{|0\rangle - |1\rangle}{\sqrt{2}} \right\}$



$\psi_-$  basis.

Note on the Bloch sphere  
opposite vectors



are orthonormal in Hilbert space.



## ⑤ Elementary observables for s-hits.

$A: \mathbb{C}^2 \rightarrow \mathbb{C}^2$   $2 \times 2$  hermitian matrix

$$A = A^\dagger.$$

basis of this space of matrices:

$$\mathbb{I} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \quad \sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

$$A = a_0 \mathbb{I} + a_x \sigma_x + a_y \sigma_y + a_z \sigma_z \quad \text{Most general observable.}$$

$$a_0, a_x, a_y, a_z \in \mathbb{R}. \quad A = A^\dagger.$$

[Note that  $\sigma_x, \sigma_y, \sigma_z$  are also unitary but this is "coincidence"]  
~~it~~

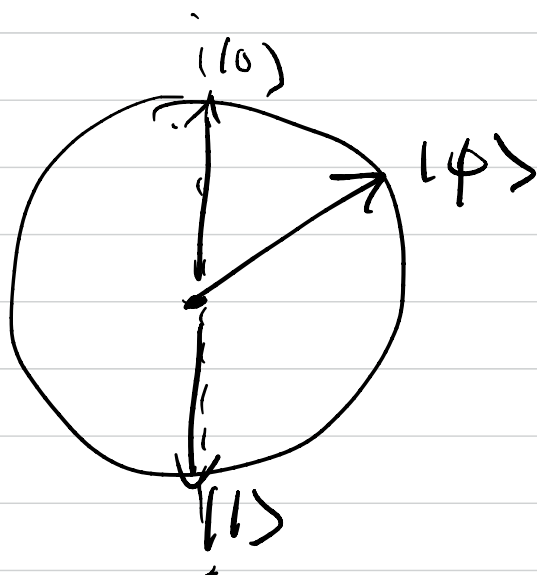
### Measurement of $\sigma_z$

Eigenvectors are  $|0\rangle, |1\rangle \Rightarrow$  take  $\mathbb{Z}$ -basis.

$$\text{Meas results } |0\rangle: p(0) = |\langle 0 | \psi \rangle|^2 = \left( \cos \frac{\theta}{2} \right)^2$$

$$|1\rangle: p(1) = |\langle 1 | \psi \rangle|^2 = \left( \sin \frac{\theta}{2} \right)^2.$$

(20)



Measurement  
projects  $|\psi\rangle$   
onto  $|0\rangle$  or  $|1\rangle$   
w. prob  $(\cos \frac{\theta}{2})^2$   
or  $(\sin \frac{\theta}{2})^2$ .

††.

Meas of  $\sigma_x$  eigenvectors  $\frac{|0\rangle + |1\rangle}{\sqrt{2}}$  ;  $\frac{|0\rangle - |1\rangle}{\sqrt{2}}$

$\Rightarrow$  take X-basis.

Meas result  $\frac{|0\rangle \pm |1\rangle}{\sqrt{2}} = |\pm\rangle$

$$p(\pm) = \left| \left( \frac{\langle 0| \pm \langle 1|}{\sqrt{2}} \right) \left( e^{i\frac{\theta}{2}} |0\rangle + e^{i\varphi} \sin \frac{\theta}{2} |1\rangle \right) \right|^2$$

$$= \frac{1}{2} \left| \cos \frac{\theta}{2} \pm e^{i\varphi} \sin \frac{\theta}{2} \right|^2$$

Meas of  $\sigma_y$  exercise.

## ⑥ Unitary dynamics in $\mathbb{C}^2$

Stones theorem: any unitary matrix can be written  $U = e^{iA}$  where  $A$  is hermitian.

$$\text{Since we must have } U(t_3, t_2)U(t_2, t_1) = U(t_3, t_1)$$

It follows any unitary dynamics in  $\mathbb{C}^2$  is of this form above.

Special case  $U_t = e^{i \frac{tH}{\hbar}}$  with  $H = H^\dagger$

and  $H$  independent of time.

$H$  is called the (generator or) Hamiltonian in physics.

Most general Hamiltonian is of the form

$$H = \vec{B} \cdot \vec{\sigma} = b_x \sigma_x + b_y \sigma_y + b_z \sigma_z$$

$$\hbar = (\text{Soul. mc}) = \frac{h}{2\pi} = \text{Planck constant}/2\pi.$$

Remark: Note  $Q_0 \mathbb{I}$  generates  $e^{it\mathbb{I}} = \begin{pmatrix} e^{it} & 0 \\ 0 & e^{-it} \end{pmatrix}$  (22)

$$= e^{it} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

= a trivial global phase.

Remark: In the case when the spin is  $\frac{1}{2}$

physical spin  $\frac{1}{2}$   $\vec{B}$  = magnetic field

$\vec{\sigma} = (\sigma_x, \sigma_y, \sigma_z)$

$\propto$  Magnetic moment observable.

More on this later.

Remark:  $i\hbar \frac{d}{dt} U_t = H U_t$  ; multiply by  $|\psi_0\rangle$

$\Rightarrow \boxed{i\hbar \frac{d}{dt} |\psi(t)\rangle = H |\psi(t)\rangle}$  Schrodinger equation.

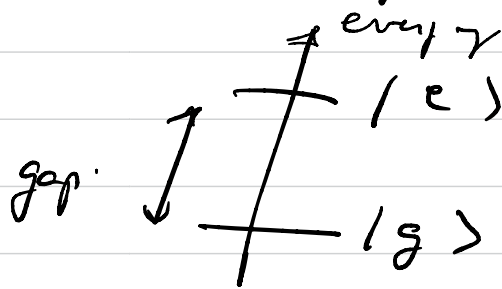
It turns out this equation is still valid if

$H$  depends on time :  $\boxed{i\hbar \frac{d}{dt} |\psi(t)\rangle = H(t) |\psi(t)\rangle}$

## ⑦ Two level systems, Spin $\frac{1}{2}$ , Dynamics.

In physics a two level system is any system where you can isolate two orthonormal states which form the basis of the Hilbert space. Typically we will call them

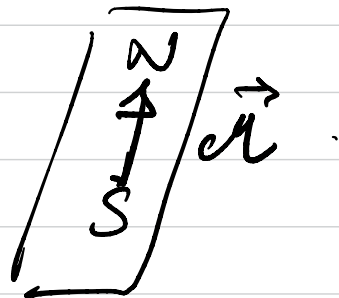
$$\left\{ \begin{array}{ll} |0\rangle, |1\rangle & \text{(qubit interpretation)} \\ |\uparrow\rangle, |\downarrow\rangle & \text{(spin } \frac{1}{2} \text{ interpretation)} \\ |g\rangle, |e\rangle & \text{(atomic interpretation)} \end{array} \right.$$



The most general Hamiltonian and unitary dynamics is the one given before.

## Spin $1/2$ example.

Magnetic moments are orientational, "vector", degrees of freedom reacting to magnetic fields. For example the needle of a compass has a magnetic moment which aligns with the earth's magnetic field. In general magnets has a North and South pole, i.e they are "dipoles" which carry a magnetic moment  $\vec{\mu}$ .



When placed in a magnetic field  $\vec{B}$  the "dipole"  $\vec{\mu}$  will tend to align (or anti-align) and minimize an energy  $E \propto -\vec{\mu} \cdot \vec{B}$

microscopic

The origin of  $\vec{\mu}$  (or magnetic moments)

is a complicated story (there are various contributors).

But in particular in nature some systems

(electrons, protons, ...) have an intrinsic magnetic moment which is a "quantum observable".

In other words  $\vec{\mu} = (\mu_x, \mu_y, \mu_z)$

has components which are hermitian matrices.

They may have any integer dimension  $\geq 2$

depending on the type of magnetic moment.

A particular type of magnetic moment

has  $2 \times 2$  matrix components given by the

Pauli matrices

$$\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \sigma_y = \begin{pmatrix} 0 & -i \\ +i & 0 \end{pmatrix} \quad \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

i.e.  $\vec{M} \propto \vec{\Sigma} = (\sigma_x, \sigma_y, \sigma_z)$

just other notation. up to proportionality constant  $g$ .

The "energy observable" of these magnetic moments is

$$H = -g \underbrace{\vec{\Sigma}}_{\text{Spin-} \frac{1}{2} \text{ vector}} \cdot \underbrace{\vec{B}}_{\text{magnetic field}}$$

↑  
Symmetric factor

$$H = -g (\sigma_x B_x + \sigma_y B_y + \sigma_z B_z)$$

$$= -g \begin{pmatrix} B_z & B_x - i B_y \\ B_x + i B_y & -B_z \end{pmatrix}$$

Remark: As said before this mathematical structure generates the most general unitary dynamics in  $\mathbb{C}^2$  and is mathematically applicable to any two-level system.



## Dynamics of "spin" in constant "magnetic field."

If  $\vec{B}$  is constant we can choose axis so that  $\vec{B} \parallel z$  i.e.  $\vec{B} = (0, 0, B_z)$ .

$$\Rightarrow H = -g B_z \sigma_z$$

It is conventional to measure energies in terms of frequency i.e. we set  $g B_z = \frac{\hbar \omega_L}{2}$  where  $\omega_L$  is called the Larmor frequency.

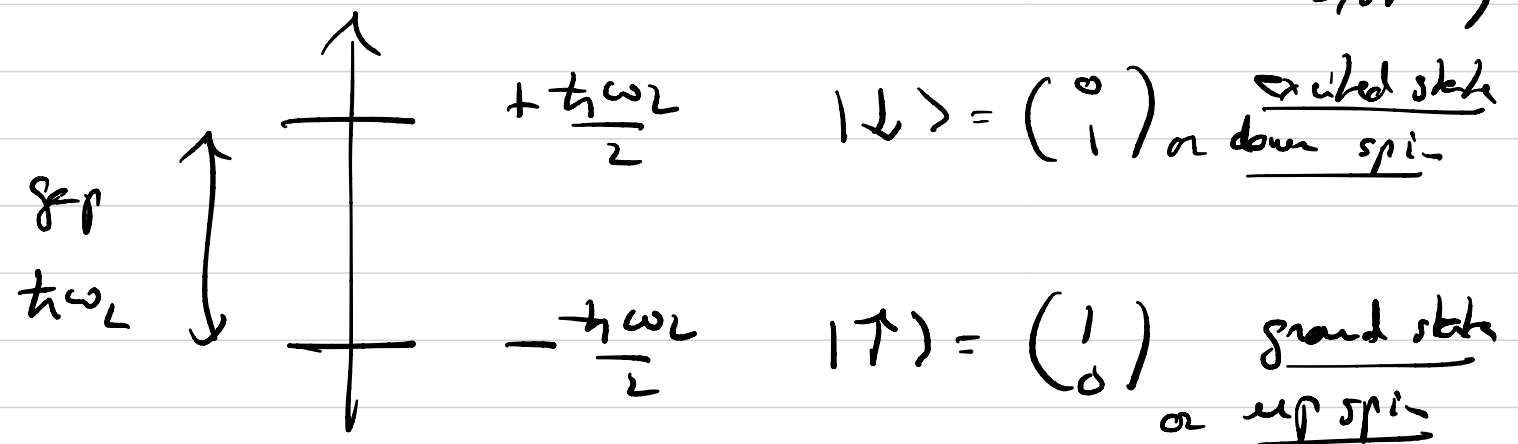
Note :  $\omega_L \left[ \frac{1}{s} \right] \text{ or } [\text{Hertz}]$

$$\hbar = [\text{Joules} \cdot \text{sec}] = \frac{\text{Planck const} = h}{2\pi}$$

$$\text{So } H = -\frac{\hbar \omega_L}{2} \sigma_z = \begin{pmatrix} -\frac{\hbar \omega_L}{2} & 0 \\ 0 & \frac{\hbar \omega_L}{2} \end{pmatrix}$$

(28)

This describes two energy levels (a two level system)



Dynamics:

$$U_t = e^{-\frac{i t H}{\hbar}} = \begin{pmatrix} e^{\frac{i t \omega_L}{2}} & 0 \\ 0 & e^{-\frac{i t \omega_L}{2}} \end{pmatrix}$$

initial state:  $|\psi(0)\rangle = \cos\frac{\theta}{2} |\uparrow\rangle + e^{i\varphi} \sin\frac{\theta}{2} |\downarrow\rangle$

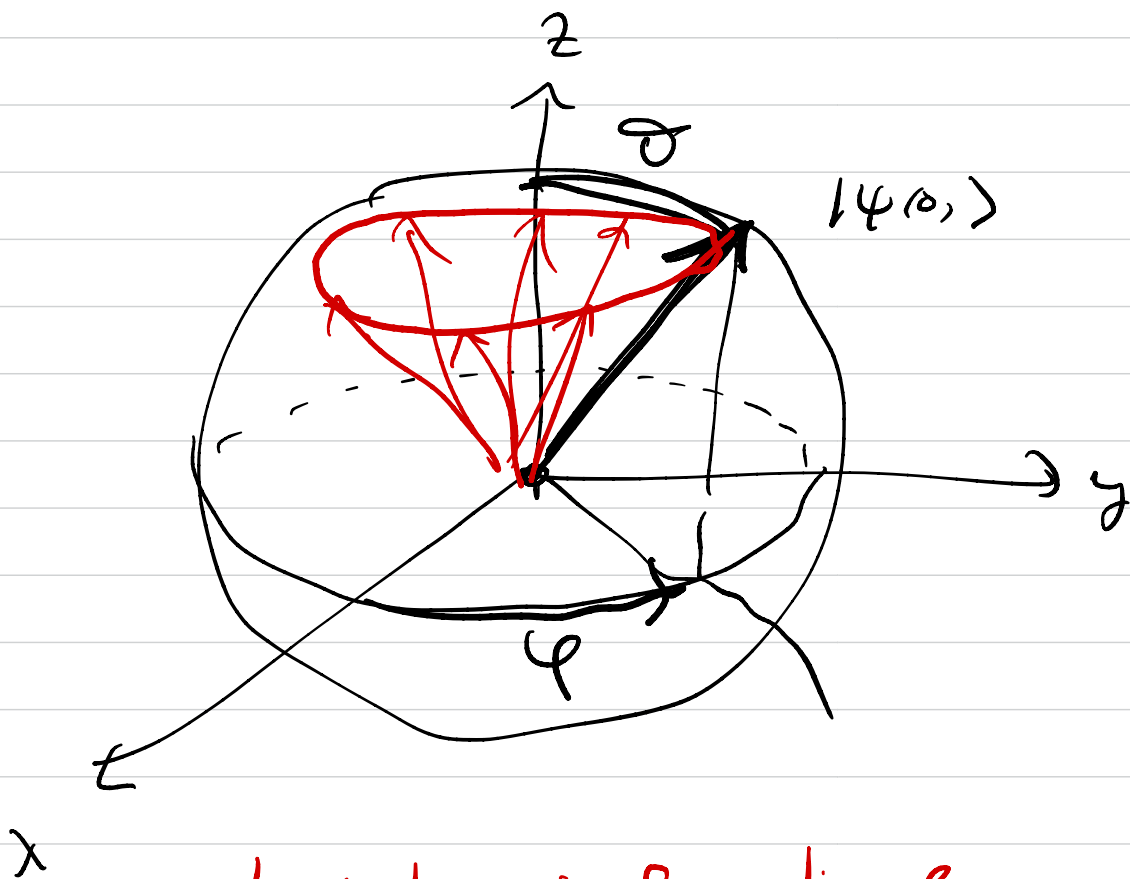
final state at time t:

$$|\psi(t)\rangle = U_t |\psi(0)\rangle$$

$$= \cos\frac{\theta}{2} e^{\frac{i t \omega_L}{2}} |\uparrow\rangle + e^{i\varphi} \sin\frac{\theta}{2} e^{-\frac{i t \omega_L}{2}} |\downarrow\rangle$$

$$|\psi(t)\rangle = \underbrace{e^{\frac{it\omega_L}{2}}}_{\substack{\text{global} \\ \text{phase} \\ \text{is unobservable}}} \underbrace{\left( \cos\frac{\theta}{2} |\uparrow\rangle + (\sin\frac{\theta}{2}) e^{i(\varphi - t\omega_L)} |\downarrow\rangle \right)}_{\text{time evolution.}} \quad (25)$$

Bloch sphere representation:



- trajectory is along tip of cone making an angle  $\theta$  with  $z$ .
- period  $\Rightarrow \hbar\omega_L = 2\pi \Rightarrow T = \frac{2\pi}{\omega_L}$
- This is called the Larmor precession

Dynamics in a time dependent mag field.

If  $\vec{B}(t)$  depends on time and cannot anymore be taken along  $z$  it becomes difficult to compute exactly  $\psi_t$  in general, However for

$$\vec{B}(t) = (\cos \omega t) B_1, (\sin \omega t) B_1, B_z)$$

s.c. rotating in  $xy$  plane we can compute exactly the dynamics.

$$H = -g B_1 (\cos \omega t \sigma_x + \sin \omega t \sigma_y) - g B_z \sigma_z.$$

$$\text{set } g B_1 = \frac{\hbar \omega}{2},$$

$$g B_z = \frac{\hbar \omega_L}{2}.$$

(31)

$$H = -\frac{\hbar\omega_1}{2} (\cos\omega t \sigma_x + \sin\omega t \sigma_y) - \frac{\hbar\omega_L}{2} \sigma_z$$

$$\cos\omega t = \frac{e^{i\omega t} + e^{-i\omega t}}{2}$$

$$\sin\omega t = \frac{e^{i\omega t} - e^{-i\omega t}}{2i} = -i \left( \frac{e^{i\omega t} - e^{-i\omega t}}{2} \right)$$

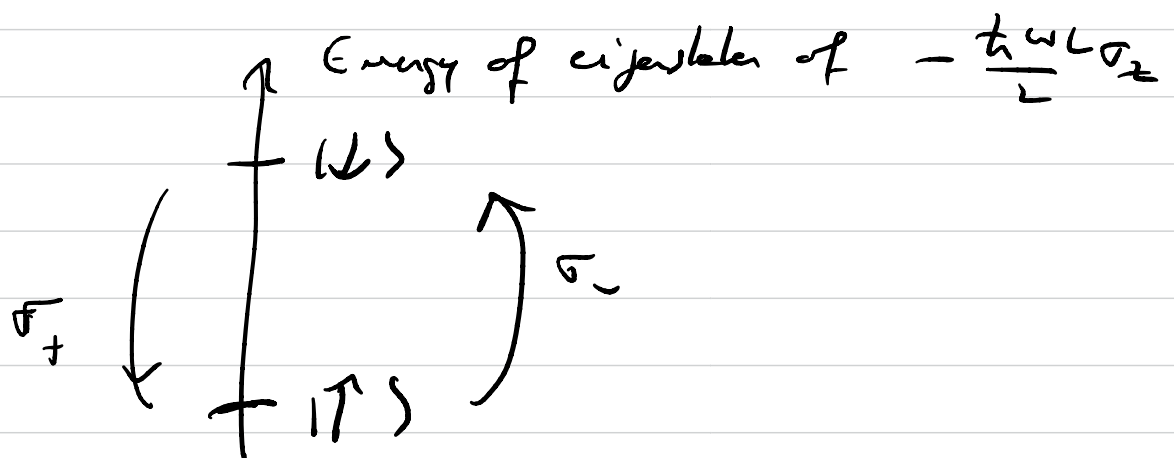
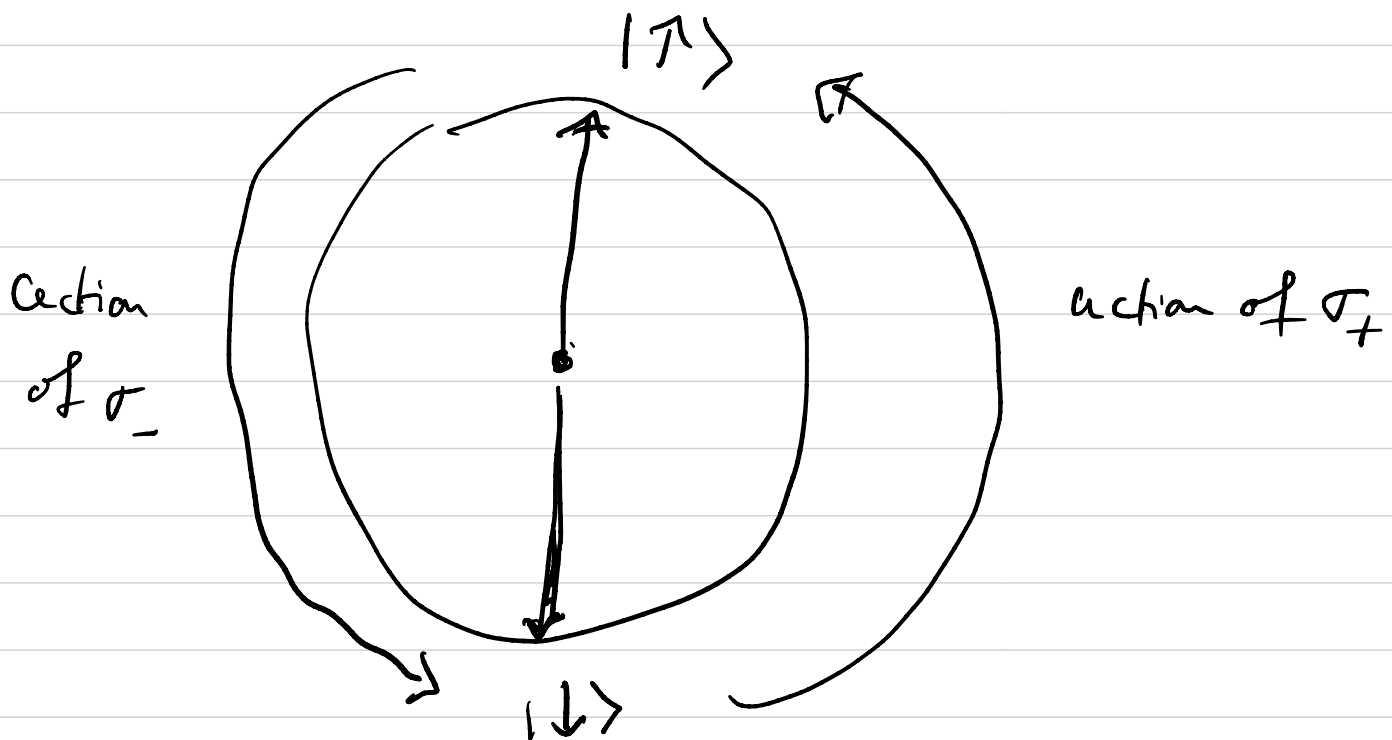
$$H = -\frac{\hbar\omega_1}{2} \left[ \underbrace{(\sigma_x - i\sigma_y)}_2 e^{i\omega t} + \underbrace{(\sigma_x + i\sigma_y)}_2 e^{-i\omega t} \right] - \frac{\hbar\omega_L}{2} \sigma_z$$

$$\begin{cases} \frac{1}{2}(\sigma_x - i\sigma_y) \equiv \sigma_- = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \\ \frac{1}{2}(\sigma_x + i\sigma_y) \equiv \sigma_+ = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \end{cases} \quad \text{"ladder operators"}$$

$$H = -\frac{\hbar\omega_1}{2} [\sigma_+ e^{-i\omega t} + \sigma_- e^{i\omega t}] - \frac{\hbar\omega_L}{2} \sigma_z$$

$\sigma_+$  and  $\sigma_-$  are called ladder operators. Then

$$\text{show that } \left. \begin{aligned} \sigma_+ |\uparrow\rangle &= 0, & \sigma_+ |\downarrow\rangle &= |\uparrow\rangle \\ \sigma_- |\uparrow\rangle &= |\downarrow\rangle, & \sigma_- |\downarrow\rangle &= 0 \end{aligned} \right\} \text{exercise.}$$



Unitary dynamics for :

$$H = -\frac{\hbar\omega_1}{2} [\sigma_+ e^{-i\omega t} + \sigma_- e^{+i\omega t}] - \frac{\hbar\omega_2}{2} \sigma_z.$$

Note that here  $H = H(t)$  depends on time.

⚠ it is not true that  ~~$U_t = e^{\frac{i t H(t)}{\hbar}}$~~

However  $U_t$  satisfies the Schrödinger equation

$$\left\{ i\hbar \frac{d}{dt} U_t = H(t) U_t \quad \text{or} \quad i\hbar \frac{d}{dt} \psi(t) = H(t) \psi(t) \right\}$$

It is not trivial how to solve it because

$H(t)$  depends on time. However for above Hamiltonian

there is an exact solution.

Here we give a heuristic derivation.

(Full derivation in exercises)

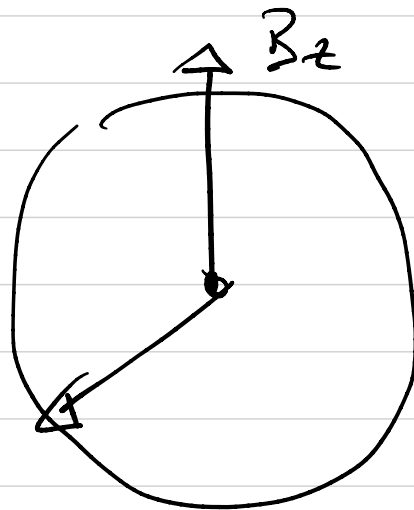
Since  $\vec{B}(t) = (B_1 \cos \omega t, B_1 \sin \omega t, B_z)$

if we attach ourselves to a reference frame attached to rotating  $\vec{B}(t)$  in  $xy$  plane we would see a different Hamiltonian:

$$H_{\text{rotating frame}} = -\frac{\hbar \omega_1}{2} \underbrace{\sigma_x} - \frac{\hbar}{2} (\omega_L - \omega) \underbrace{\sigma_z}.$$

Magn field  
along  $x$  in  
rotating frame

$\delta =$  New Larmor  
precession frequency  
 $\approx$  "detuning parameter"



$B_1$

$$H_{\text{rot frame}} = \begin{pmatrix} -\frac{\hbar \delta}{2} & -\frac{\hbar \omega_1}{2} \\ -\frac{\hbar \omega_1}{2} & +\frac{\hbar \delta}{2} \end{pmatrix}.$$

One can show that  $H(t)$  &  $H_{\text{rot frame}}$  are related by a "similarity transform" (unitarily equiv).



$$U_t^{\text{rotating frame}} = e^{-\frac{it}{\hbar} H_{\text{rot frame}}} \quad \uparrow$$

time independent  
Hamiltonian

New use

$$e^{i\alpha \hat{\mathbf{m}} \cdot \vec{\sigma}} = (\cos\alpha) \mathbb{1} + i(\sin\alpha) \hat{\mathbf{m}} \cdot \vec{\sigma}$$

for  $\|\hat{\mathbf{m}}\| = 1$  (exercise).

to compute the unitary evolution operator in the rotating frame exactly. (See exercises)

Here we discuss only special cases.

\* Highly detuned situation:

$$\delta = \omega_L - \omega \gg \omega_1.$$

$$\Rightarrow H_{\text{rot frame}} \approx \begin{pmatrix} -\frac{\hbar\delta}{2} & 0 \\ 0 & \frac{\hbar\delta}{2} \end{pmatrix}.$$

$\Rightarrow$  Dynamics given by Larmor precession  $\delta$   
 In lab frame this is  $\omega_L$ .

Basically dynamics is roughly the one without  $B_1$ .

\* Tuned situation

$$\delta = \omega_L - \omega \ll \omega_1 \quad (\text{or } \delta \approx 0)$$

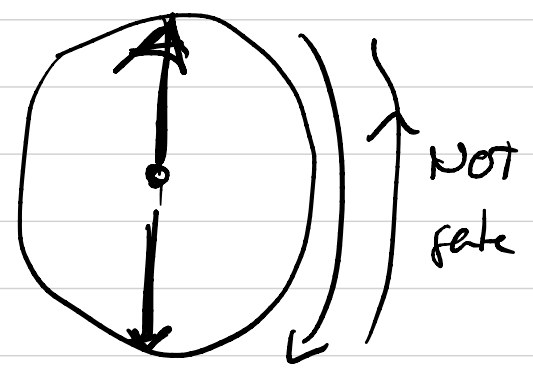
$$\Rightarrow H_{\text{rot frame}} \approx \begin{pmatrix} 0 & -\frac{\hbar\omega_1}{2} \\ \frac{\hbar\omega_1}{2} & 0 \end{pmatrix} = -\frac{\hbar\omega_1}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

$$U_{\text{rot}} \approx e^{i \frac{t \omega_1}{2} \sigma_x}$$

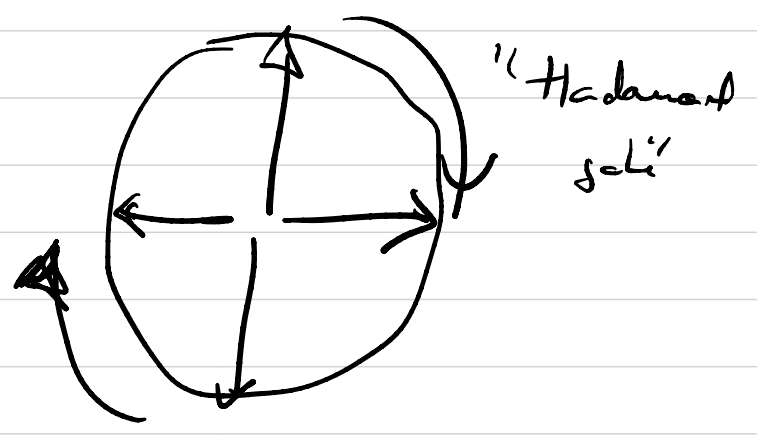
$$\approx \left( \cos \frac{t \omega_1}{2} \right) \mathbb{1} + i \left( \sin \frac{t \omega_1}{2} \right) \sigma_x$$

For  $t = \frac{\pi}{\omega_1}$   $U_{\text{rot}} \left( t = \frac{\pi}{\omega_1} \right) \approx i \sigma_x$   
= "NOT gate"

For  $t = \frac{\pi}{2\omega_1}$   $U_{\text{rot}} \left( t = \frac{\pi}{2\omega_1} \right) \approx \frac{1}{\sqrt{2}} (\mathbb{1} + i \sigma_x)$   
$$= \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & i \\ i & 1 \end{pmatrix}$$
  
= "Kind Hadamard gate"



time  $\approx \frac{\pi}{\omega_1}$



time  $\approx \frac{\pi}{2\omega_1}$

## ⑧ Appendix : algebra of Pauli matrices.

It useful to use algebraic properties in calculations.

It is an exercise to check them.

$$1) \sigma_x^2 = \sigma_y^2 = \sigma_z^2 = \mathbb{1}$$

$$2) \sigma_i \sigma_j = -\sigma_j \sigma_i \text{ for } i \neq j \quad (x, y, z).$$

$$3) [\sigma_x, \sigma_y] = 2i\sigma_z \text{ and cyclic permutations}$$

$$[\sigma_y, \sigma_z] = 2i\sigma_x$$

$$[\sigma_z, \sigma_x] = 2i\sigma_y.$$

Note by def :  $[A, B] = AB - BA$  the commutator.

$$4) \exp(i\alpha \hat{n} \cdot \vec{\sigma}) = (\cos\alpha)\mathbb{1} + i(\sin\alpha) \hat{n} \cdot \vec{\sigma}; \quad \|\hat{n}\| = 1.$$